

Assessment of human exposures to air pollution using satellite-based remote sensing and spatiotemporal models

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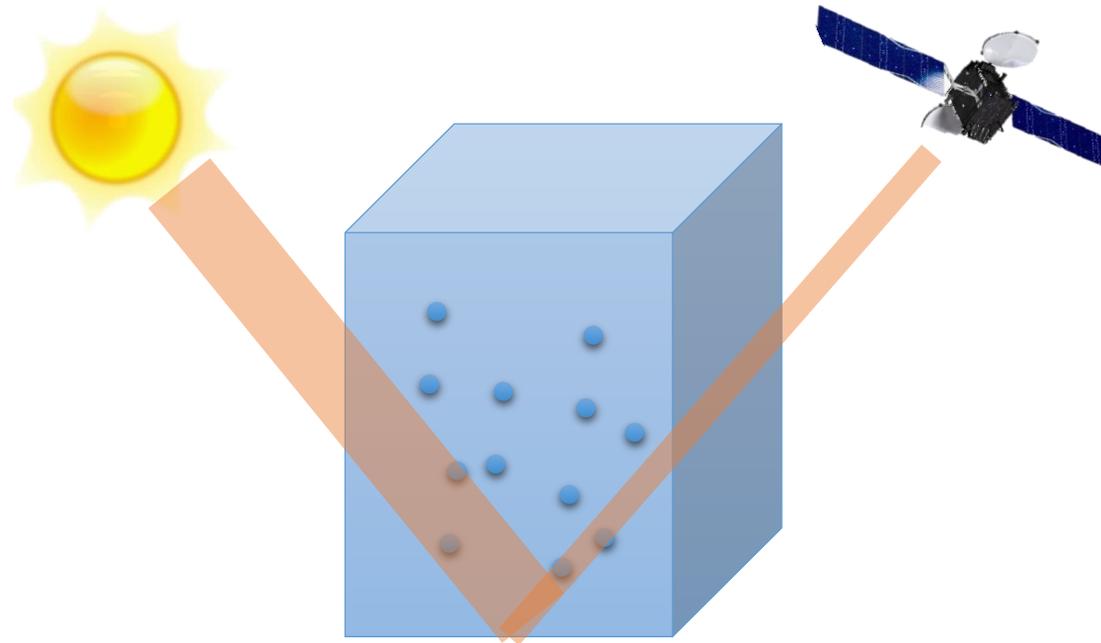
and

Harvard EPA Center Team

2002-2017

Aerosol Optical Depth

Loss of light by scattering and absorption due to the presence of particles



Earlier applications of satellite-based remote sensing

- Characterization of air pollution across space and time, especially in areas with sparse monitoring networks or those not accessible to humans
- Applications increased over time due to the increasing number of satellite platforms collecting data with better accuracy, spatiotemporal coverage, and accessibility
- The concomitant increase of computing power made it easier to access, manage, and analyze remote sensing data.



PM_{2.5}-AOD Linear Regression Model Fit

$R^2 = 0.43, N = 1,315$

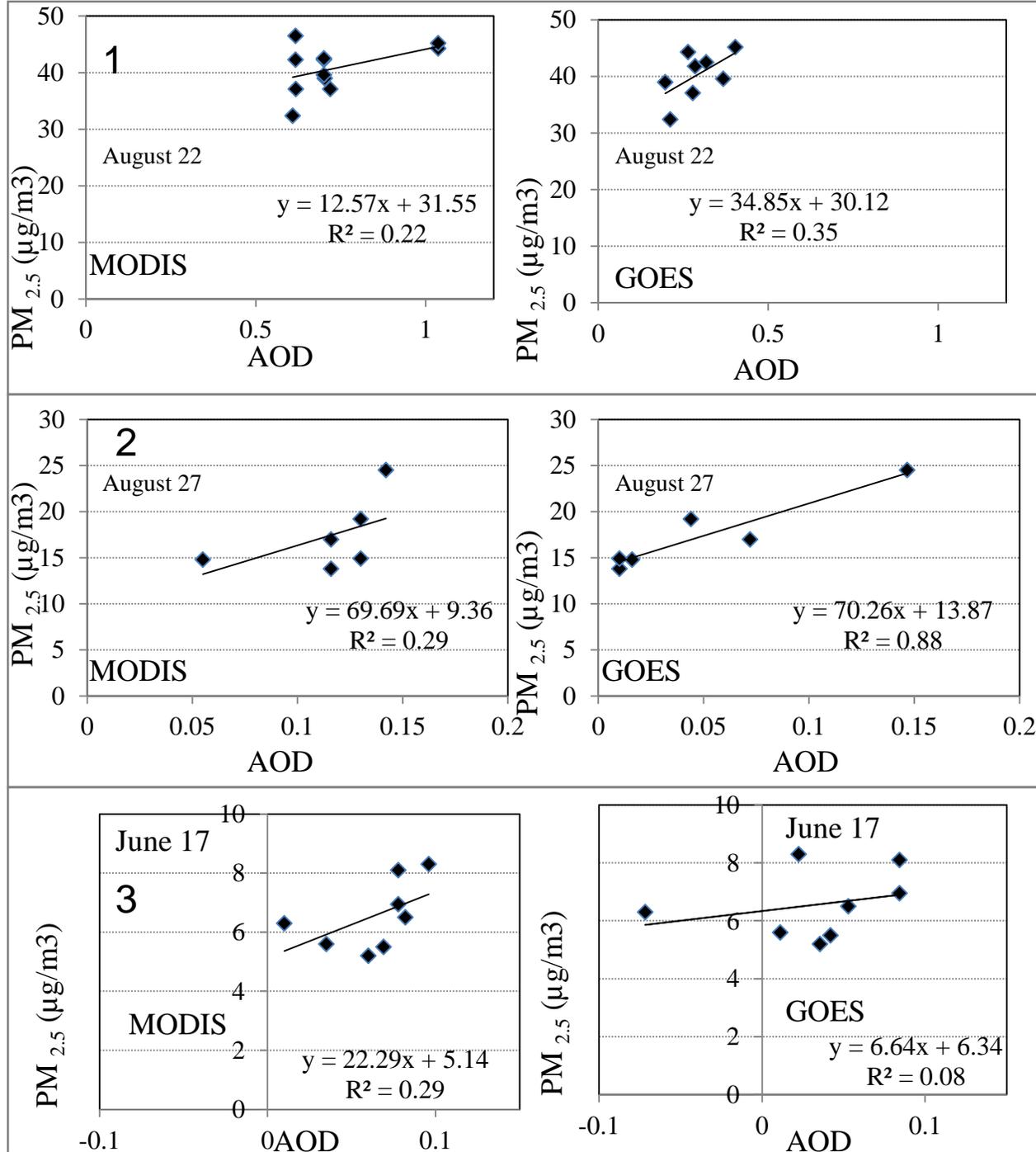
Variables	Estimates	Std Error	p value	CI factor
RH	-0.63	0.11	< 0.0001	$e^{-0.63 \times \text{RH}}$
Power of AOT	0.45	0.02	< 0.0001	$\text{AOT}^{0.45}$
Power of h	-0.36	0.02	< 0.0001	$h^{-0.36}$

(Liu et al. EST 2004)

Literature survey of P/A ratios, intercepts, and correlation coefficients (Hoff and Christofer 2009)

Author	Sensor	Date	Region	Number of Ground Monitors	PM _{2.5} /PM ₁₀	Linear Regression	R
Wang ¹⁵⁴	MODIS (Terra)	2002	Alabama	7	PM _{2.5} (24 hr) ^a	77.0τ - 0.23	0.67
	MODIS (Aqua)	2002	Alabama	7	PM _{2.5} (24 hr) ^a	68.6τ + 1.93	0.76
Chu ¹⁵³	Average	2002	Alabama	7	PM _{2.5} (24 hr) ^a	72.3τ + 0.85	0.98
	MODIS	August–October 2000	Italy	1	PM ₁₀	54.7τ + 8.0	0.82
Engel-Cox ¹⁶¹	MODIS	April–September 2002	United States	1338	PM _{2.5}	22.6τ + 6.4	0.4
					PM _{2.5} (24 hr)	18.7τ + 7.5	0.43
Liu ²⁰⁸	MISR	2003	St. Louis	22	PM _{2.5}	NA	0.8
Engel-Cox ¹⁶³	MODIS	July 1 to August 30, 2004	Baltimore	4	PM _{2.5}	31.1τ + 5.2	0.65
					PM _{2.5} (<PBL)	48.5τ + 6.2	0.65
					PM _{2.5} (24 hr)	25.3τ + 11.1	0.57
					PM _{2.5} (24 hr < PBL)	64.8τ + 1.76	0.76
Liu ¹⁶⁹	MISR	2001	Eastern United States	346	PM _{2.5}	–	–
Al-Saadi ¹⁶⁴	MODIS	Review	United States		PM _{2.5}	62.0τ	NA
Gupta ¹⁷¹	MODIS	2002 and July–November 2003	Global cities	26	PM ₁₀ ^a	141.0 τ	0.96
Koelemeijer ¹⁵²	MODIS	2003	Europe	88 (PM _{2.5})	PM _{2.5} ^a	NA	0.63
					PM ₁₀ ^a	214.0τ - 42.3	0.58
Kacenenbogen ¹¹⁸	POLDER	April–October 2003	France	28	PM _{2.5}	26.6τ + 13.2	0.7
Gupta ¹⁷³	MODIS	February 2000 to December 2005	Southeastern United States	38	PM _{2.5}	29.4τ + 8.8	0.62
					PM _{2.5} (24 hr)	27.5τ + 15.8	0.52
Hutchison ¹⁵⁸	MODIS	August–November 2003 and 2004	Texas	28	PM _{2.5} (August) ^a	68.8τ - 39.9	0.47
					PM _{2.5} (September) ^a	59.7τ - 17.2	0.98
Paciorek ¹⁷⁷	GOES-12	2004	United States	Not given	PM _{2.5} (24 hr)	NA	0.5
An ¹⁷⁹	MODIS	April 3–7, 2005	Beijing	6	PM _{2.5} (yearly)	NA	0.75
					PM ₁₀ ^a	21.7τ + 6.1	0.92
Schaap ¹⁸⁰	MODIS	August 2006 to May 2007	Cabauw, Netherlands	1	PM _{2.5} ^a	31.1τ + 5.1	0.92
					PM _{2.5}	120τ + 5.1	0.72

Notes: ^aSlope and intercept converted from an AOD to PM (A/P) ratio. The P/A ratio is the slope of PM_{2.5} to AOD in a linear regression model.



Different slopes and intercepts

Daily Calibration Method of AOD

- There is an inherent day-to-day variability in the AOD-PM_{2.5} relationship which depends on time varying parameters such as particle optical properties, concentration vertical mixing and ground surface reflectance among others
- A daily calibration technique is applied to AOD data to accurately predict PM_{2.5} concentrations within the study region
- This method requires data from multiple ground sites within the study region **[SENSORS]**

Statistical Approach

A mixed effects model with random intercepts and slopes:

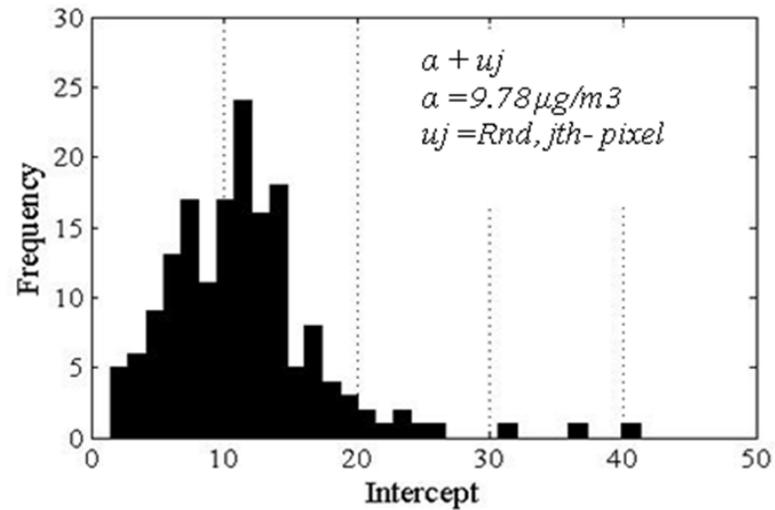
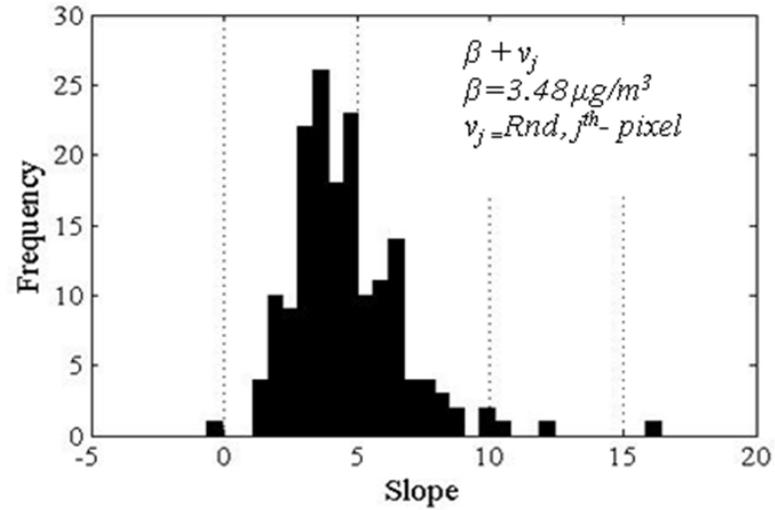
$$PM_{ij} = (\alpha + u_j) + (\beta_1 + v_j) \times AOD_{ij} + \varepsilon_{ij}$$
$$(u_j \ v_j) \sim [(0 \ 0), \Sigma_\beta]$$

where PM_{ij} is the $PM_{2.5}$ concentration at a spatial site i on a day j ; AOD_{ij} is the AOD value in the grid cell corresponding to site i on a day j ; α and u_j are the fixed and random intercepts, respectively; β_1 and v_j are the fixed and random slopes, respectively; w is the random slope of site i ; and Σ_β is the variance-covariance matrix for the random effects

Lee HJ et al. (2011). *Atmospheric Chemistry and Physics* (2011)

Lee HJ et al. (2012). *Environmental Research* (2012)

Frequency distribution of slopes and intercepts resulted from the mixed effect model estimations



The relationship between $\text{PM}_{2.5}$ and AOD – before (a) and after (b) the daily calibrations

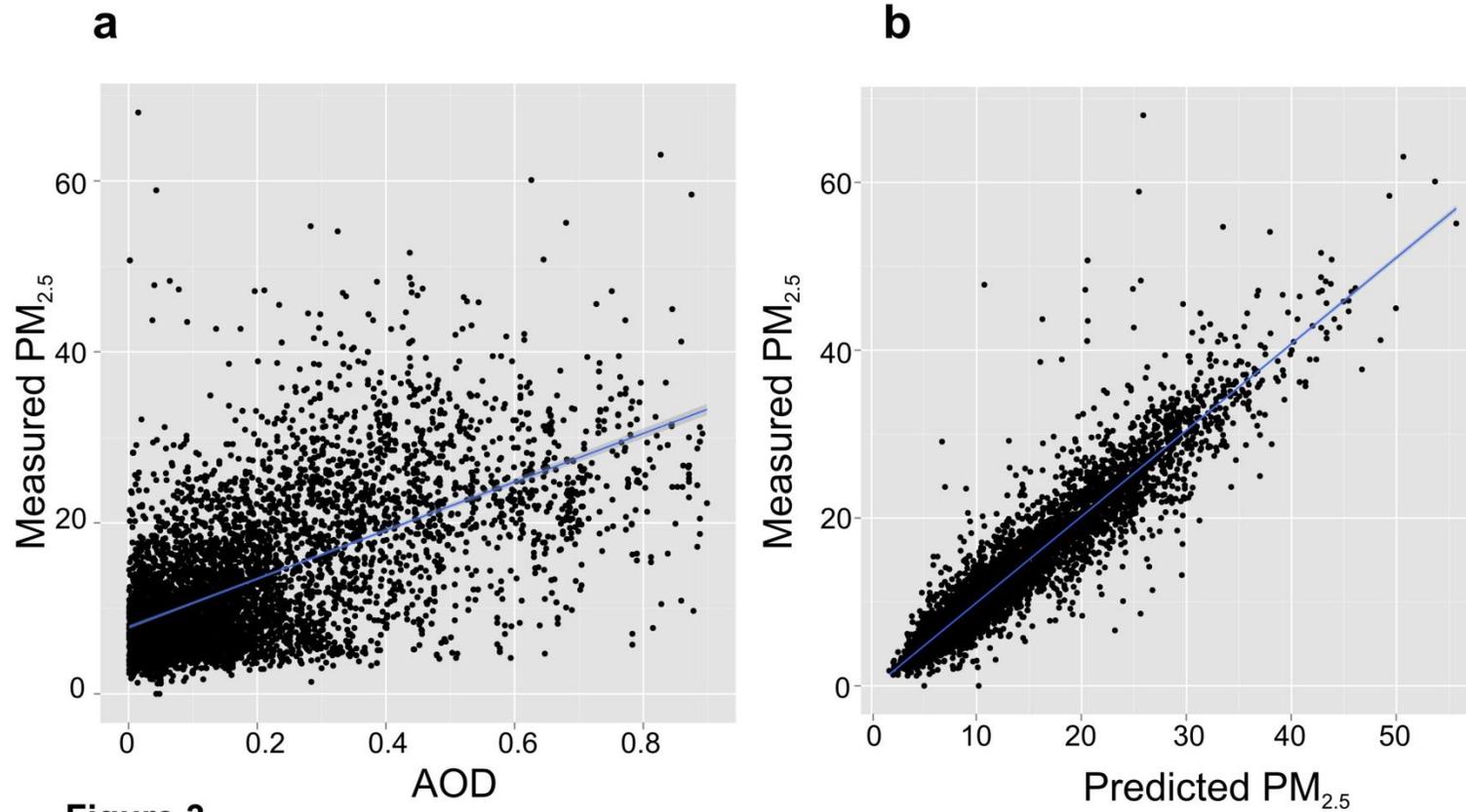


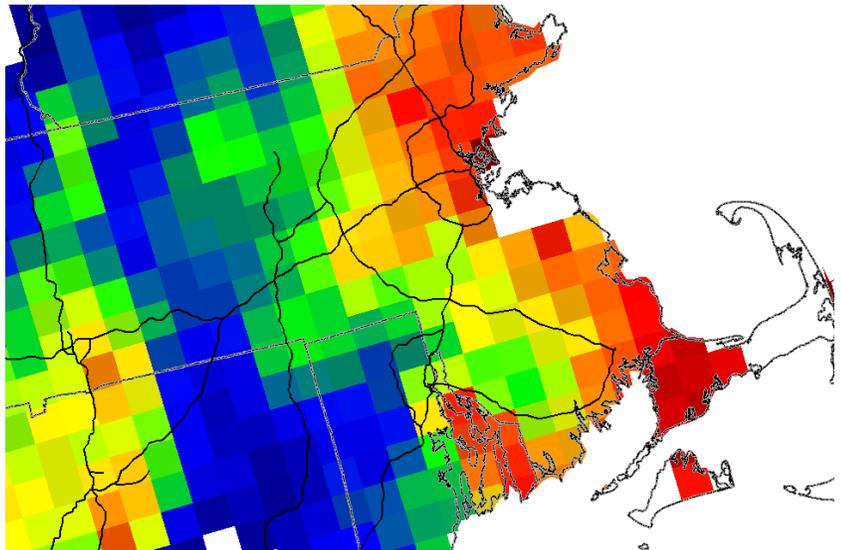
Figure 3

MODIS 1 km vs 10 km

MAIAC testing: A close collaboration with NASA group (Chudnovsky et al 2012)

MAIAC data have less missing values

25 June

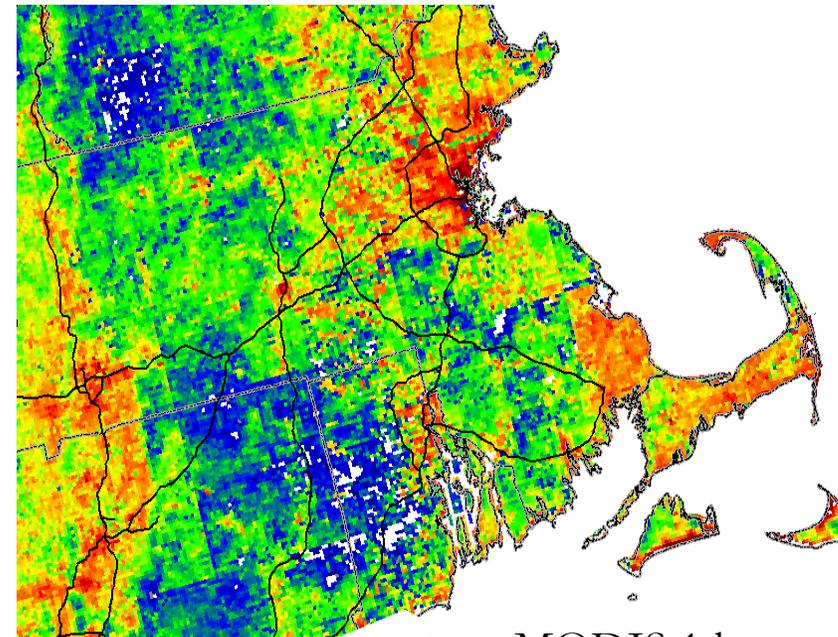


MODIS 10 km

25 June

0 25 50 100 150 200 Kilometers

AOD
0.7
0

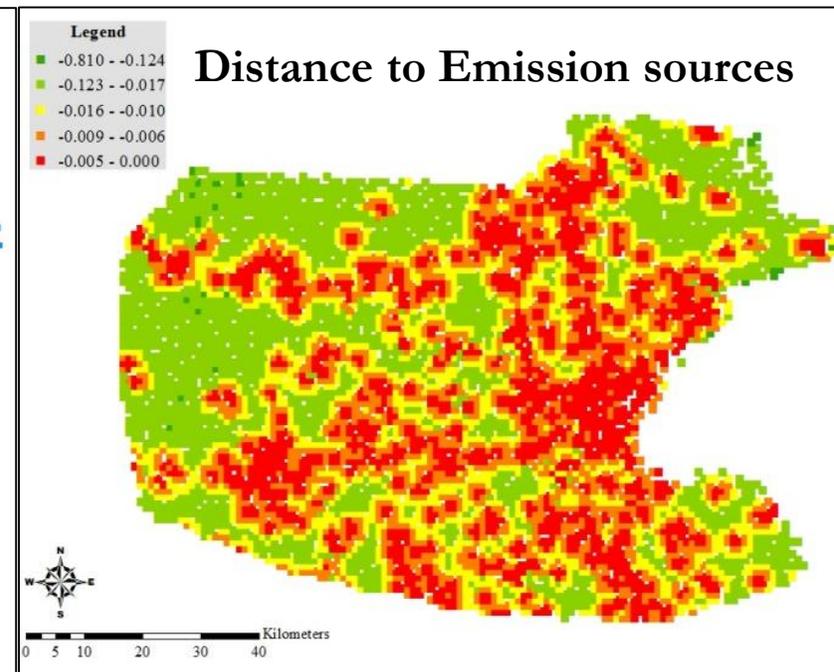
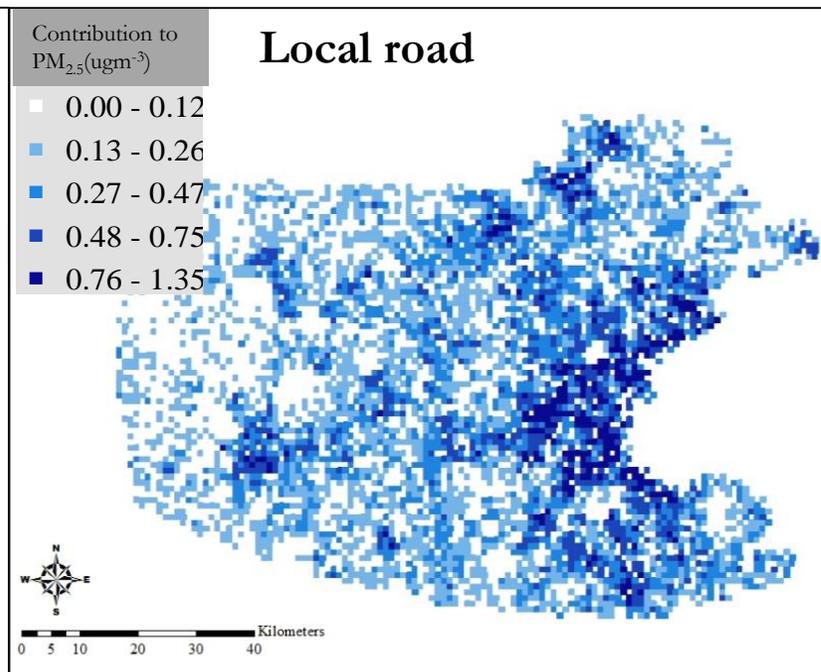
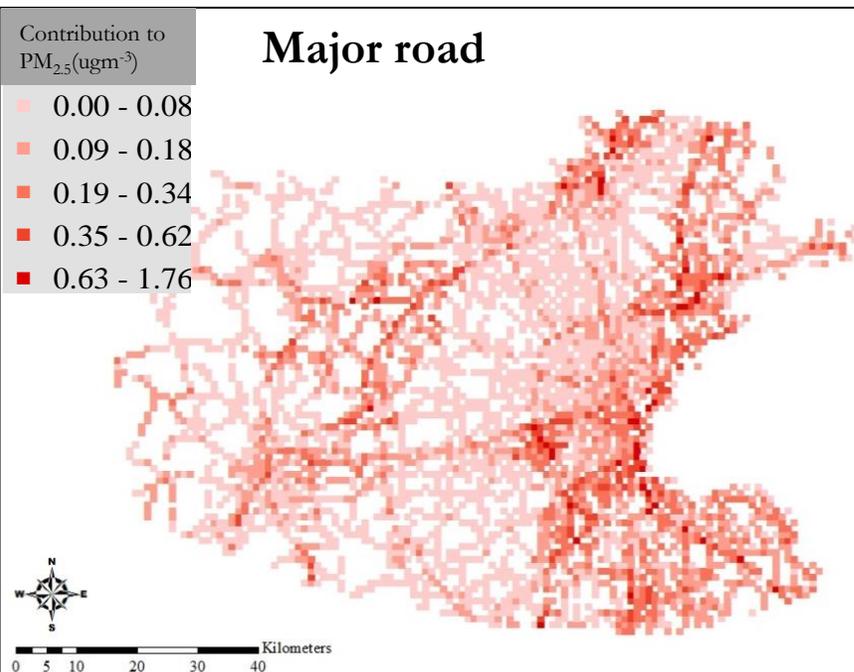


MODIS 1 km

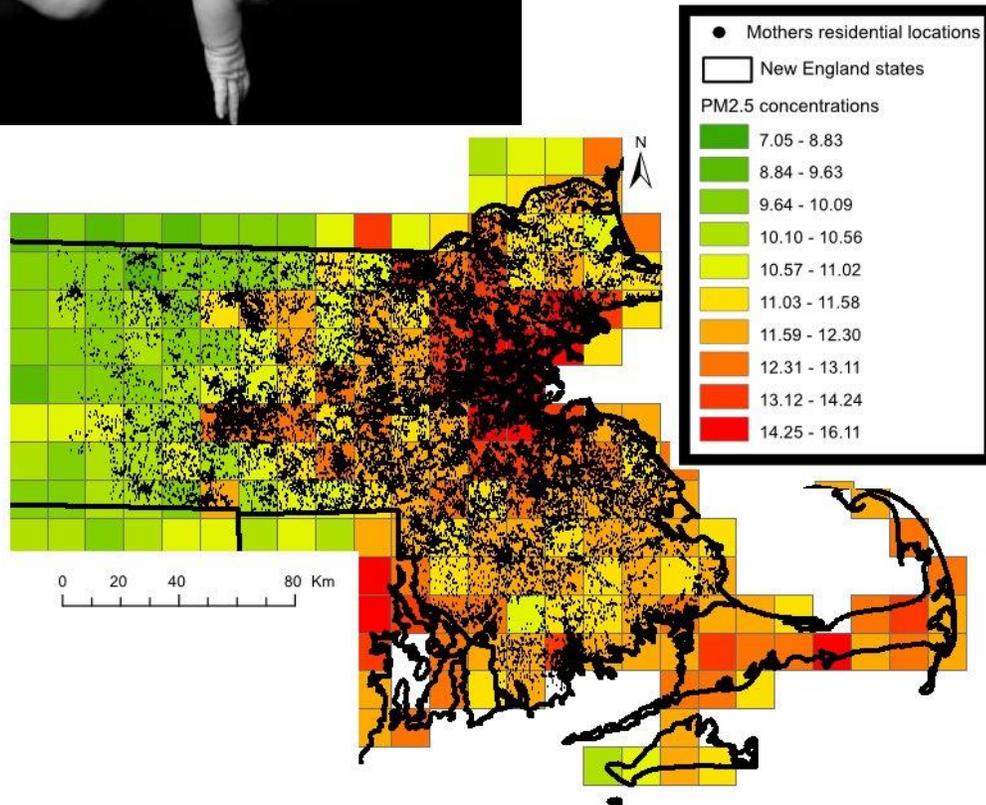
Land Use Parameters

PMM_{ij}

$= aodij + Elevation_i + \%Urban\ spaces_i + \%Forest\ spaces_i$
 $+ Major\ road\ length_i + Local\ Road\ length_i$
 $+ Distance\ to\ emission\ sources_i$



Using Satellite Based Exposure to Study the Effect of $PM_{2.5}$ on Birth Weight in Massachusetts



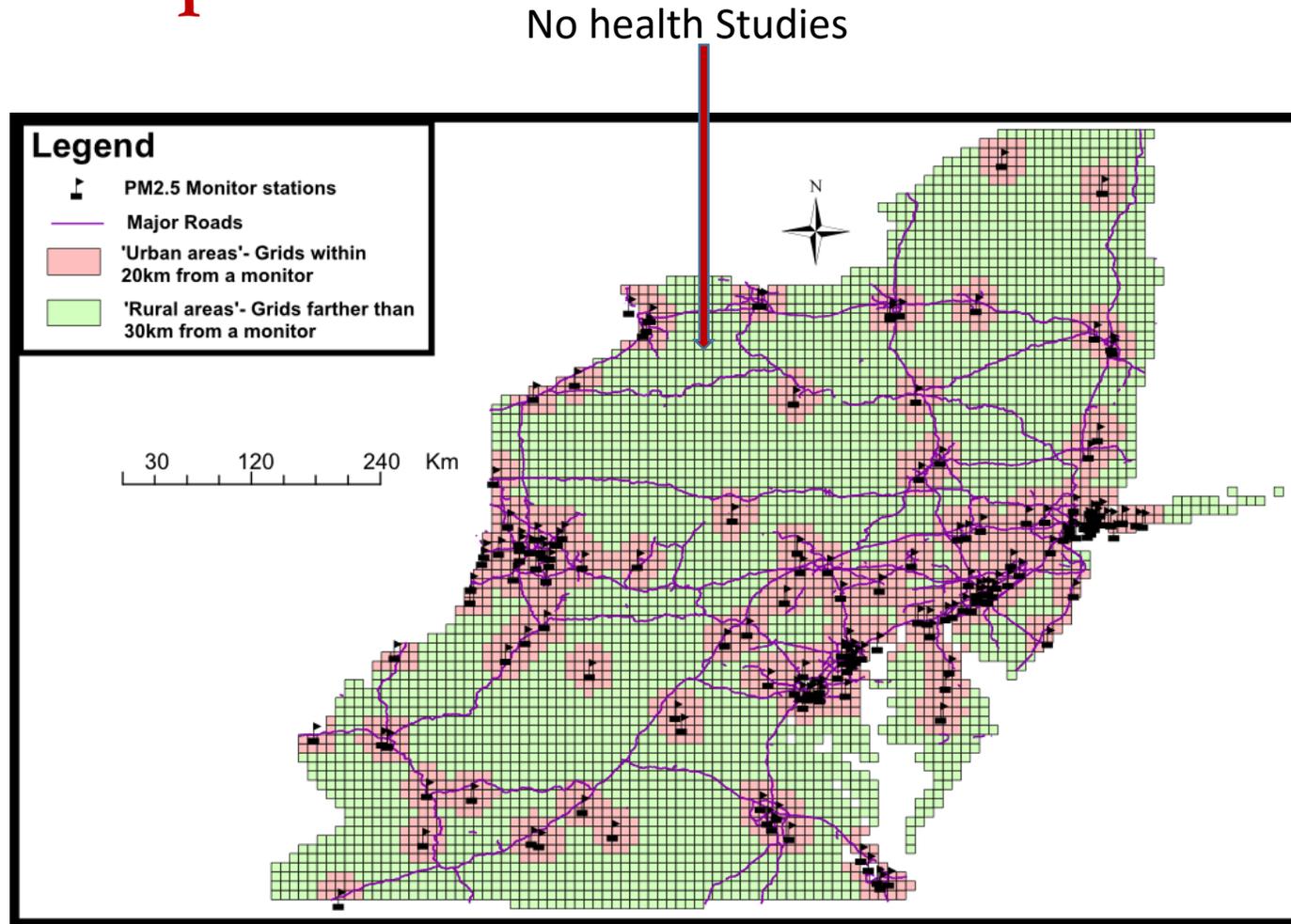
Exposure	Birth weight Change (in grams)(95% CI)
Last month	-8.80^{***} (-10.32 to -4.44)
Last trimester	-9.20^{***} (-15.00 to -3.30)
Entire birth period	-13.80^{***} (-21.10 to -6.05)

PM_{2.5} Mortality in Middle Atlantic States

PM _{2.5} exposure type	Percent increase ^a
Short term PM _{2.5} exposure	1.19 (0.81 to 1.57)
Long term PM _{2.5} exposure	26.47 (3.28 to 54.90)
Number of obs. ^b	375,048

Percent increase and 95% confidence intervals for mortality associated with a 10- $\mu\text{g}/\text{m}^3$ increase for both long term and short term PM_{2.5} exposures.

Higher Risks for Rural Populations

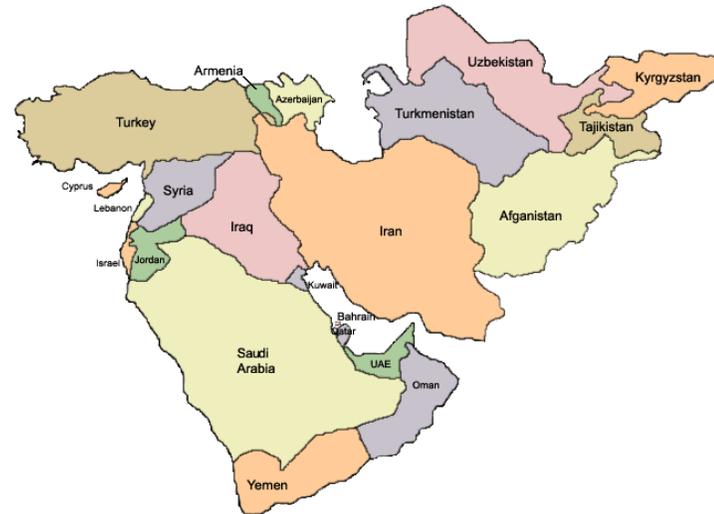


Map of the study area showing the MEDICARE population within and outside 20 km of a PM_{2.5} monitor

Iraq and Afghanistan Wars: Soldier Health

US VA Study

- Reports of returning soldiers
 - Wheezing, Asthma, COPD Sanders et al. 2005; Roop et al. 2007
 - Smoking. Perceptions
- PM Sources (IOM, 2011)
- Natural
 - **Dust Storms**
- Anthropogenic
 - **Open-pit refuse burning**
 - Aircraft engines
 - Diesel generators



Exposure Assessment

- No Data!
- Use airport visibility to calibrate MODIS MAIAC
 - Over 100 Airforce Bases
 - 24-hour data
- Convert spatiotemporal visibility data to $PM_{2.5}$
- Estimate month average exposures

Calibrate AOD using Visibility

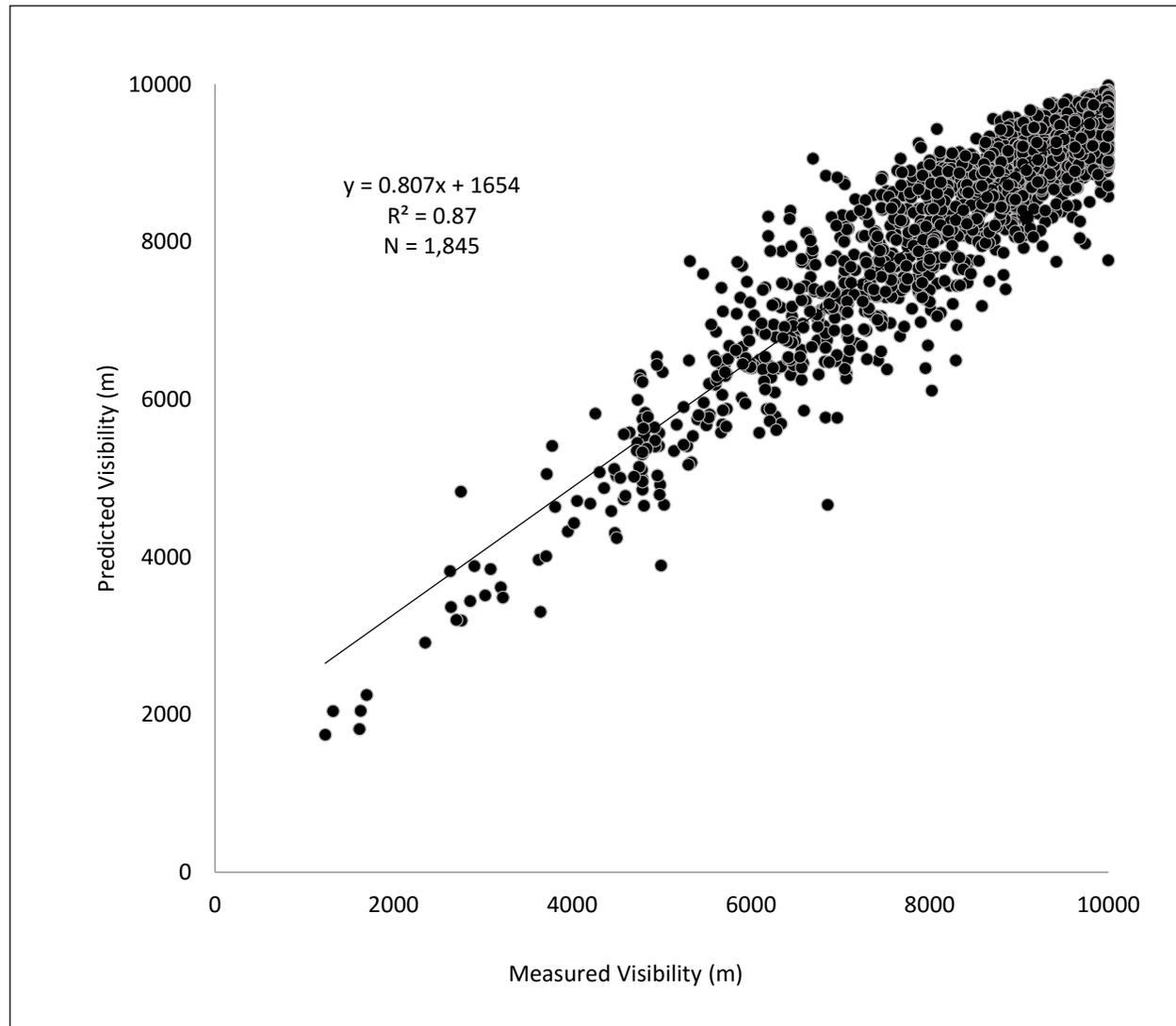
MAIAC, 1 x1 km



Iraq Jan: 2006 – Dec 2007
7 sites, 1,845 daily observations

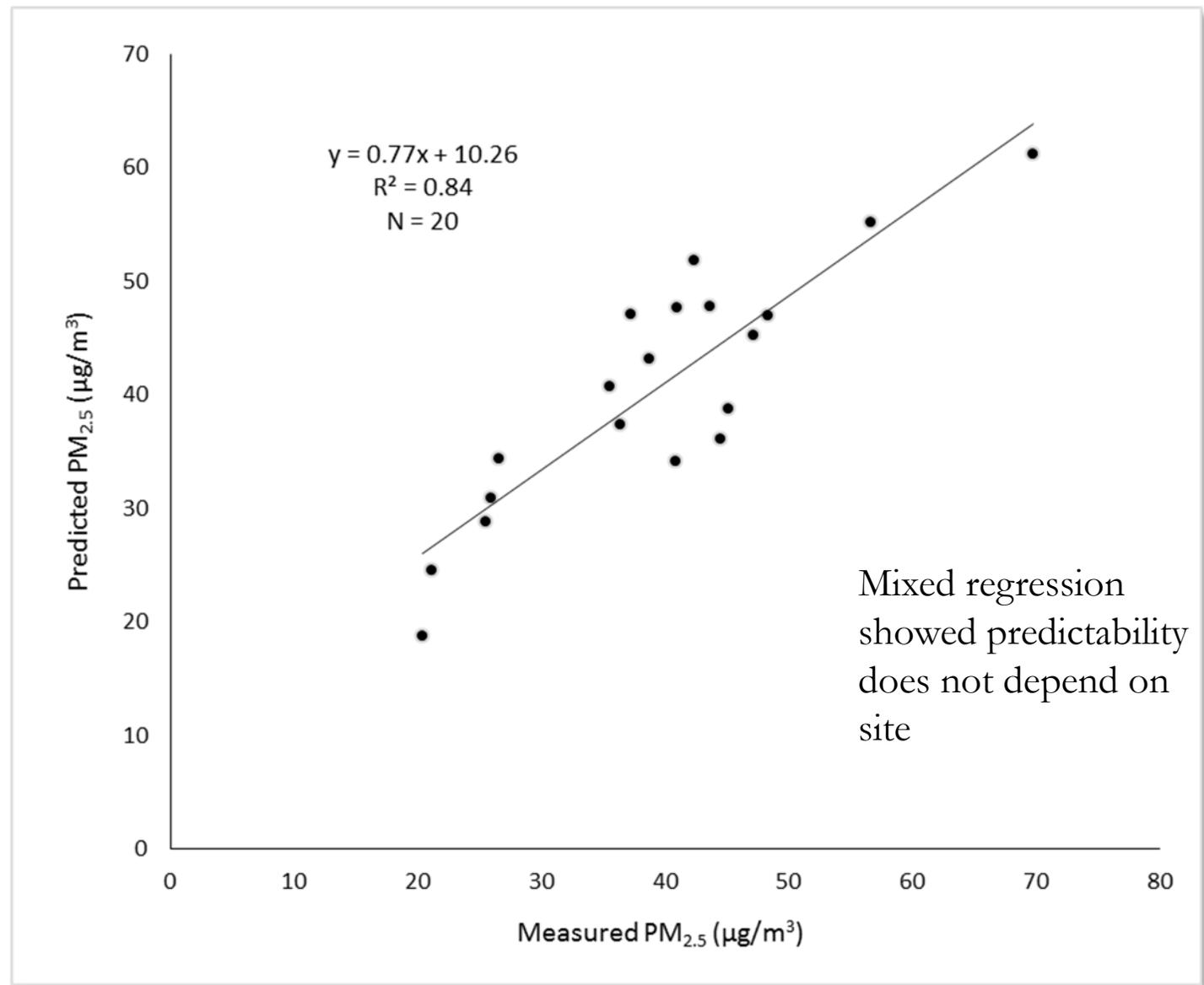
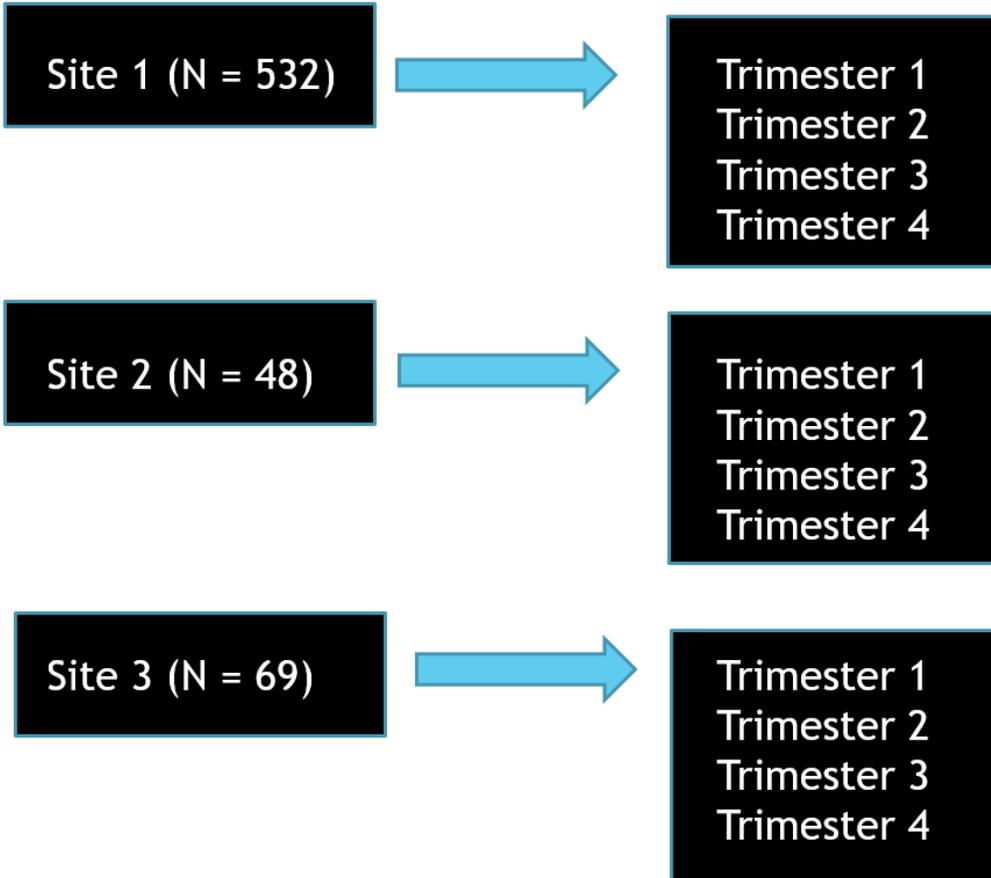


Stage 1

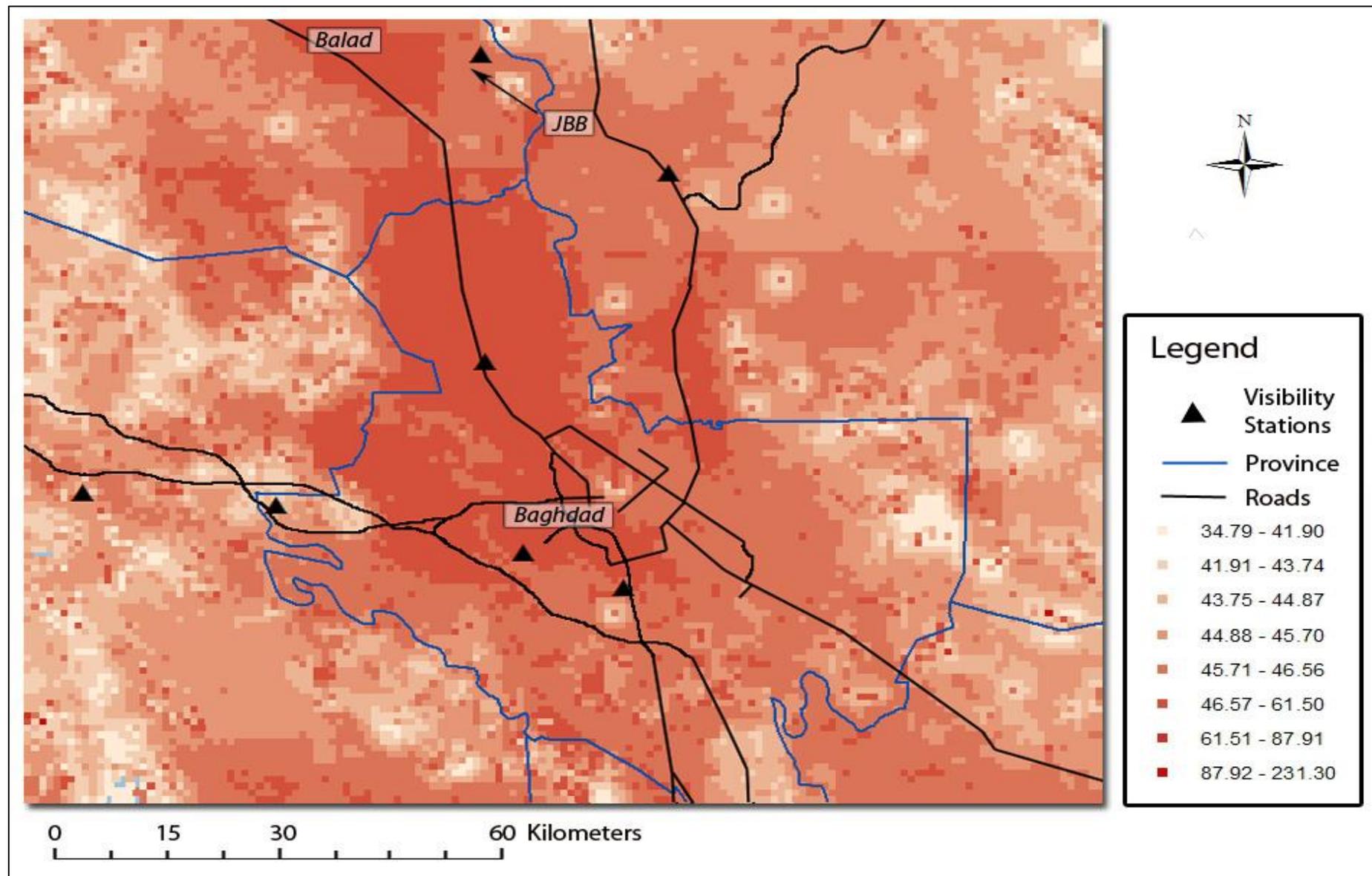


Relationship between daily predicted and measured visibility.

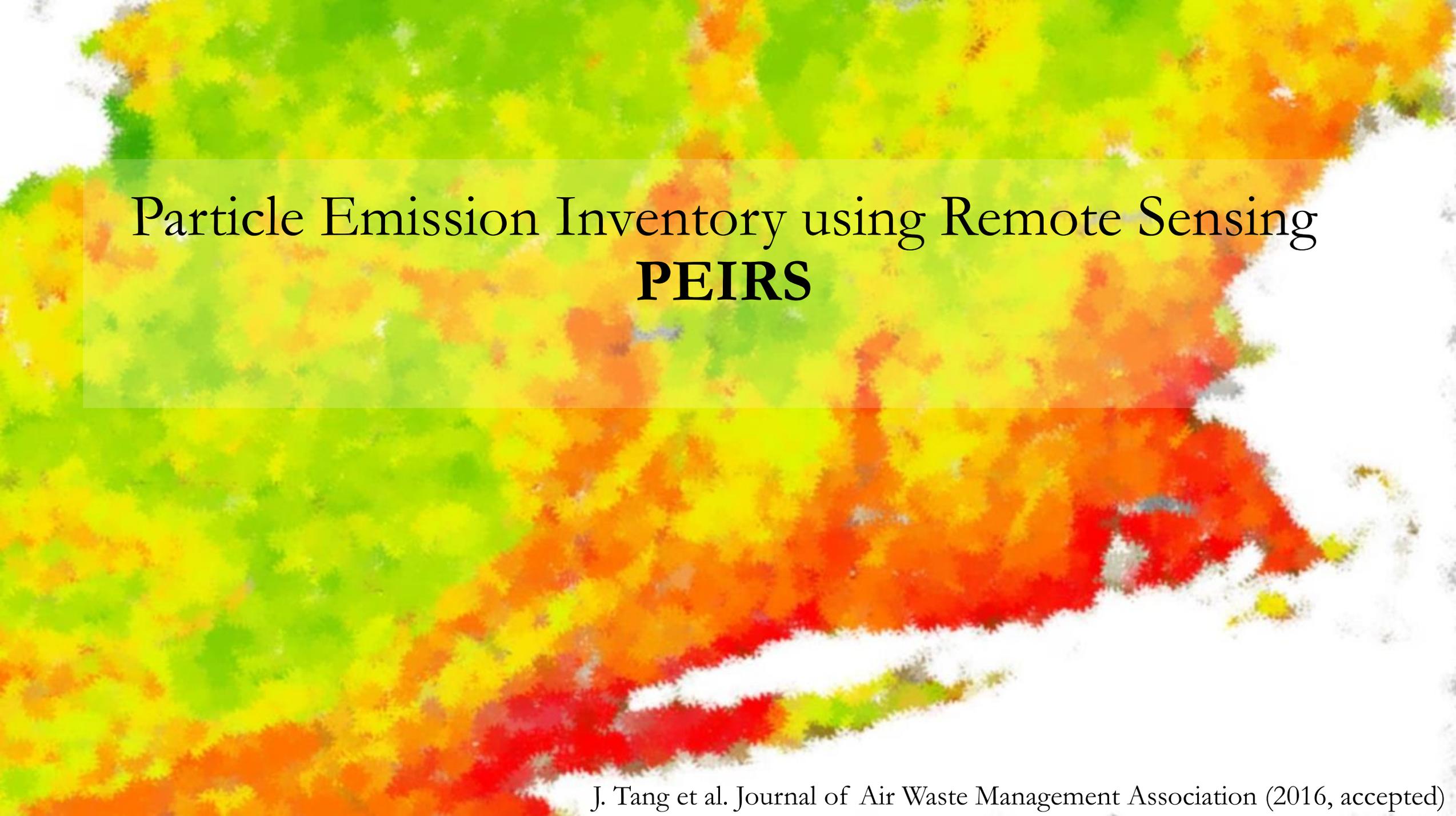
Matched Pairs



$$PM_{2.5} = \alpha + \beta_1 (1/\text{visibility}) + \beta_2 (\text{relative humidity})^2$$



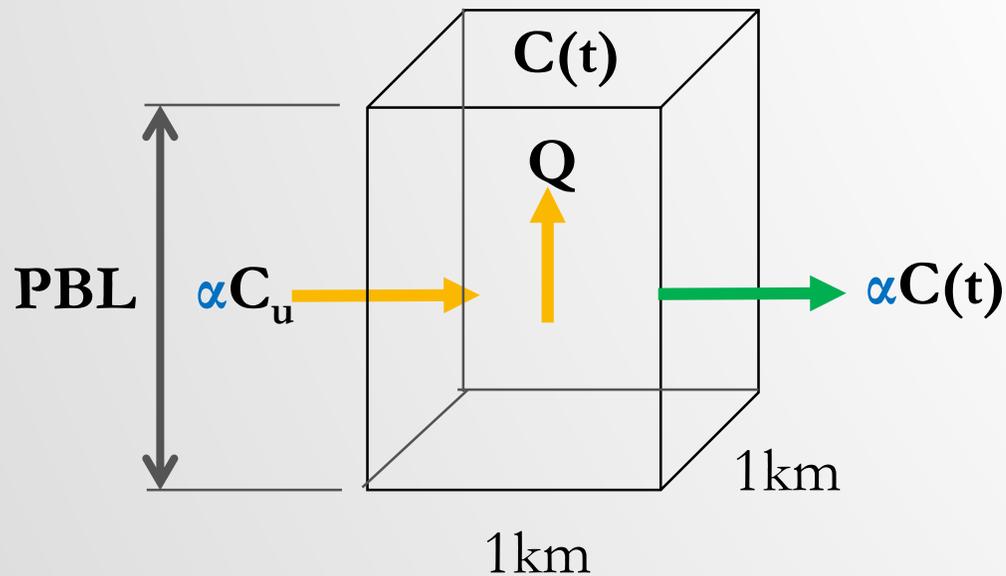
Spatial pattern of 1x1 km $PM_{2.5}$ predictions averaged over ²¹ two years (2006 and 2007)



Particle Emission Inventory using Remote Sensing **PEIRS**

STAGE 2: EMISSION MODEL

Mass Balance



$$\frac{dC(t)}{dt} = \sum \text{Sources} - \sum \text{Sinks}$$

$$C = C_u + \frac{Q}{\alpha \times \text{PBL}}$$

C: PM_{2.5} Concentration inside box

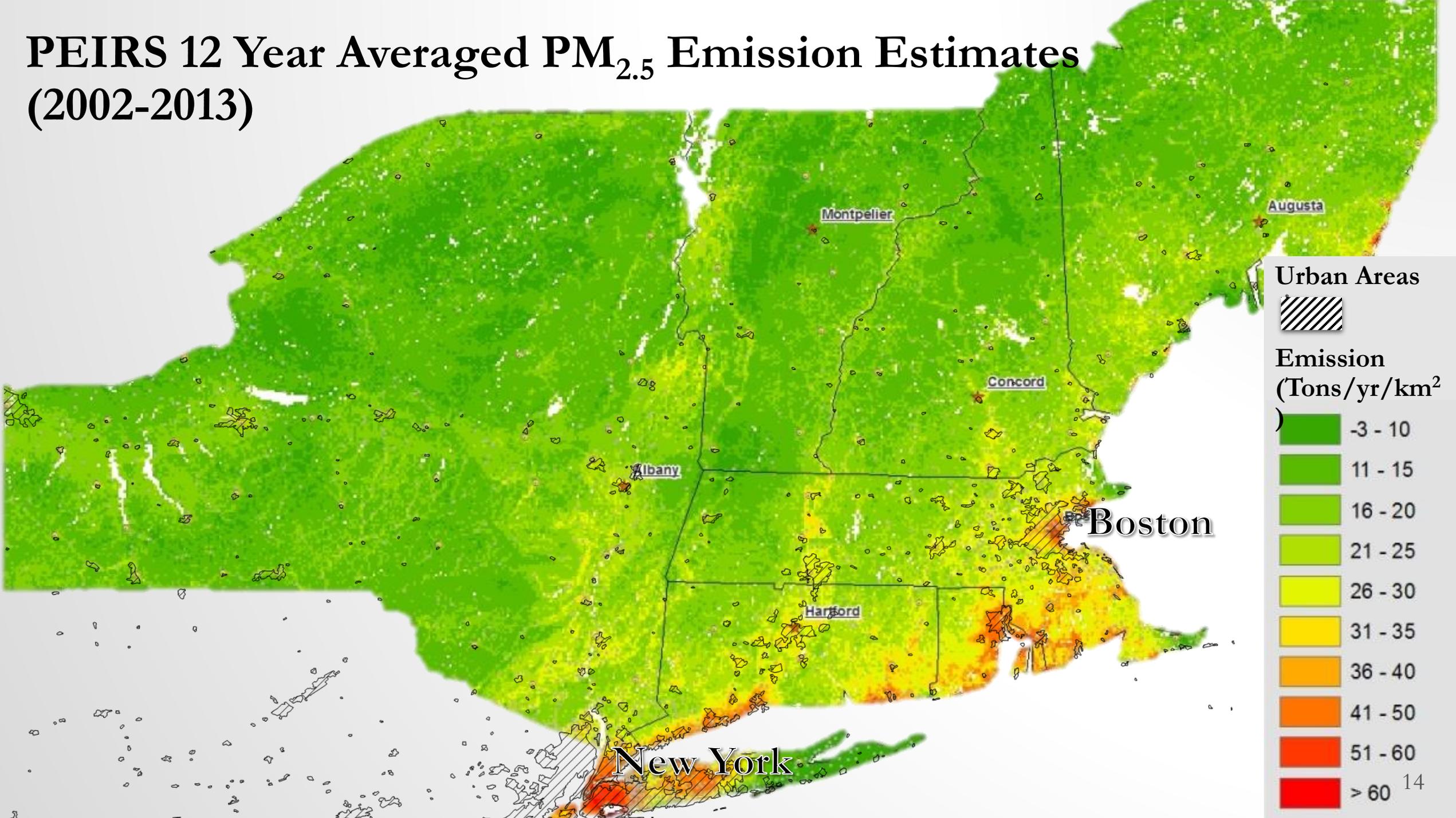
C_u: PM_{2.5} Concentration upwind

α: Air exchange rate (wind speed/Length)

Q: Emission inside box

PBL: Planetary Boundary Layer height

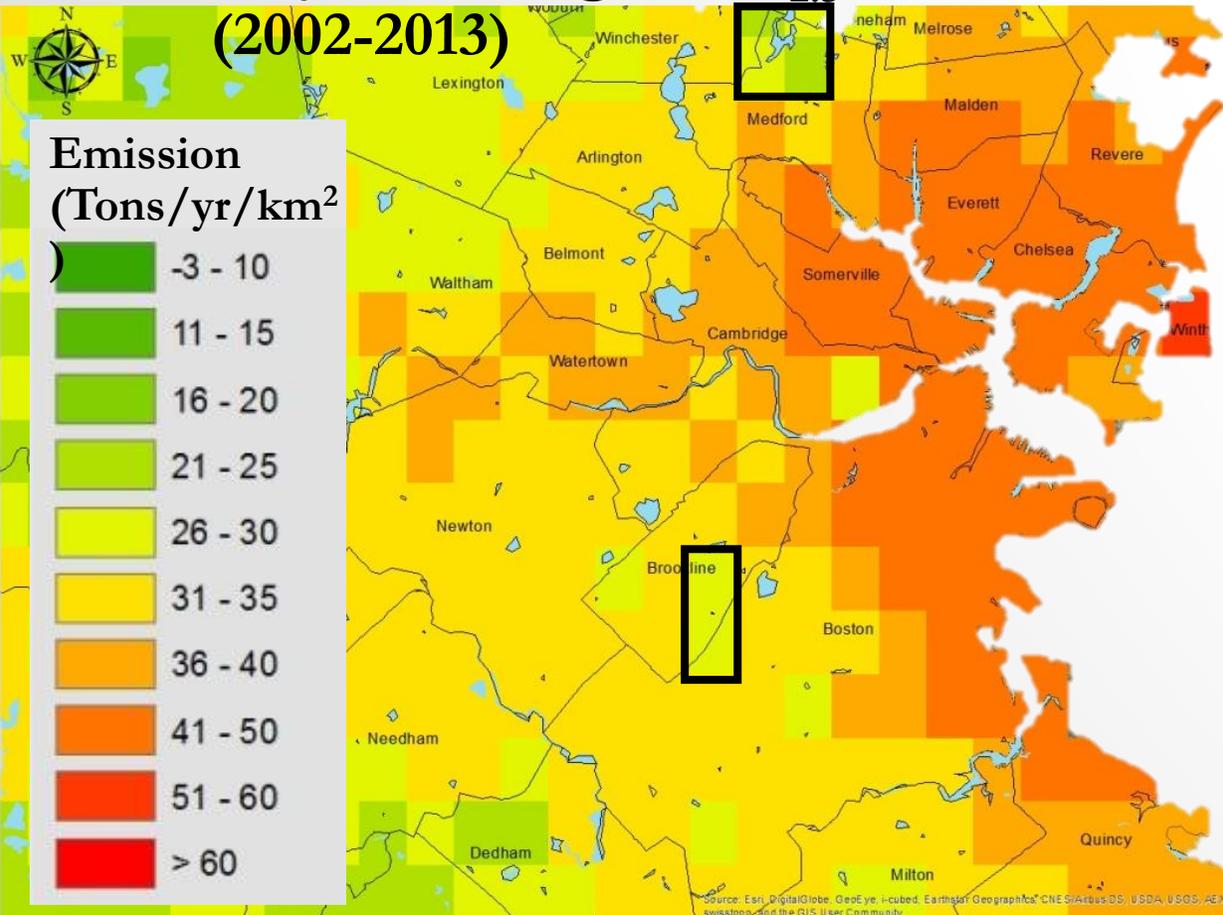
PEIRS 12 Year Averaged PM_{2.5} Emission Estimates (2002-2013)



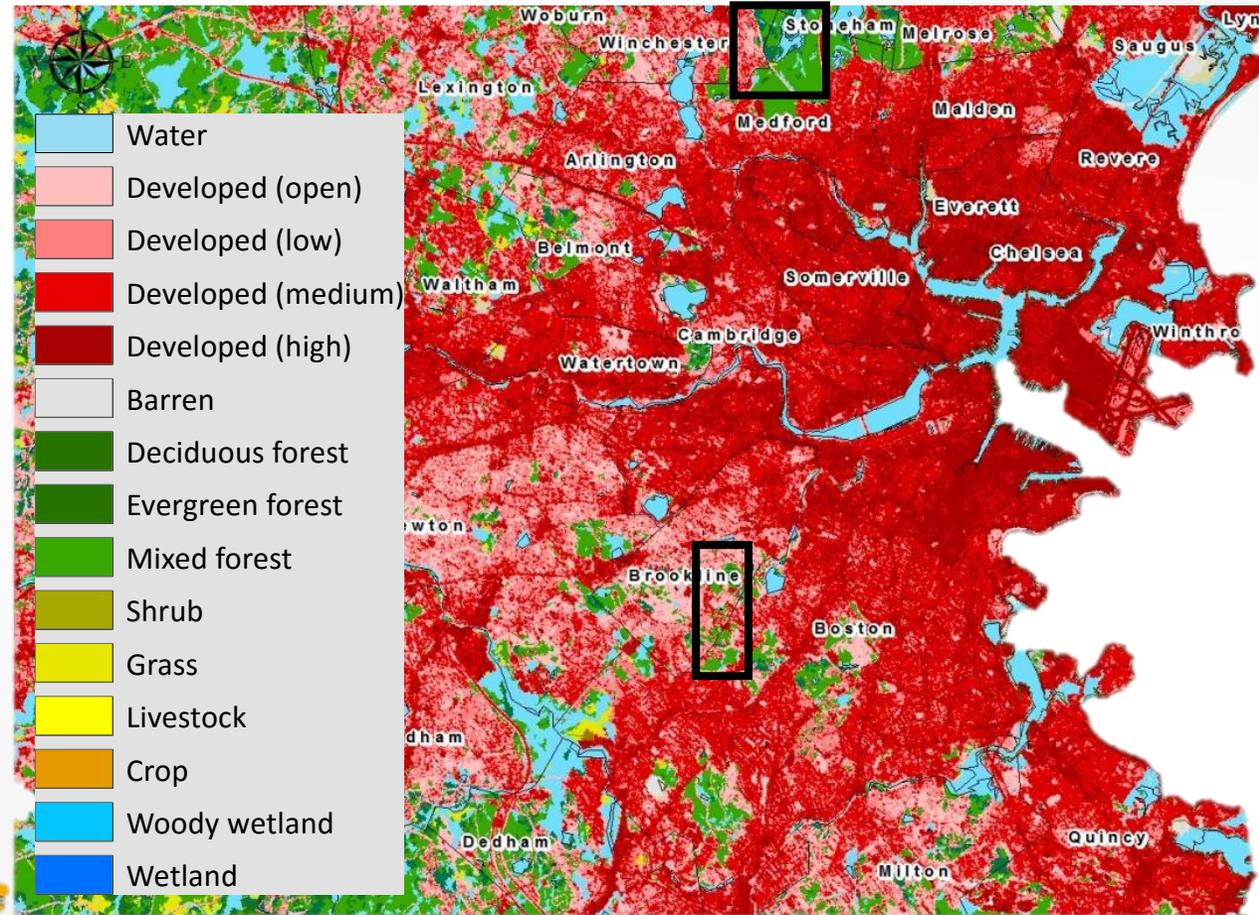
INTRA-URBAN VARIABILITY

PEIRS 12-year Averaged PM_{2.5} Emission

(2002-2013)

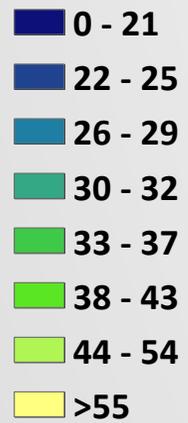


Land Cover

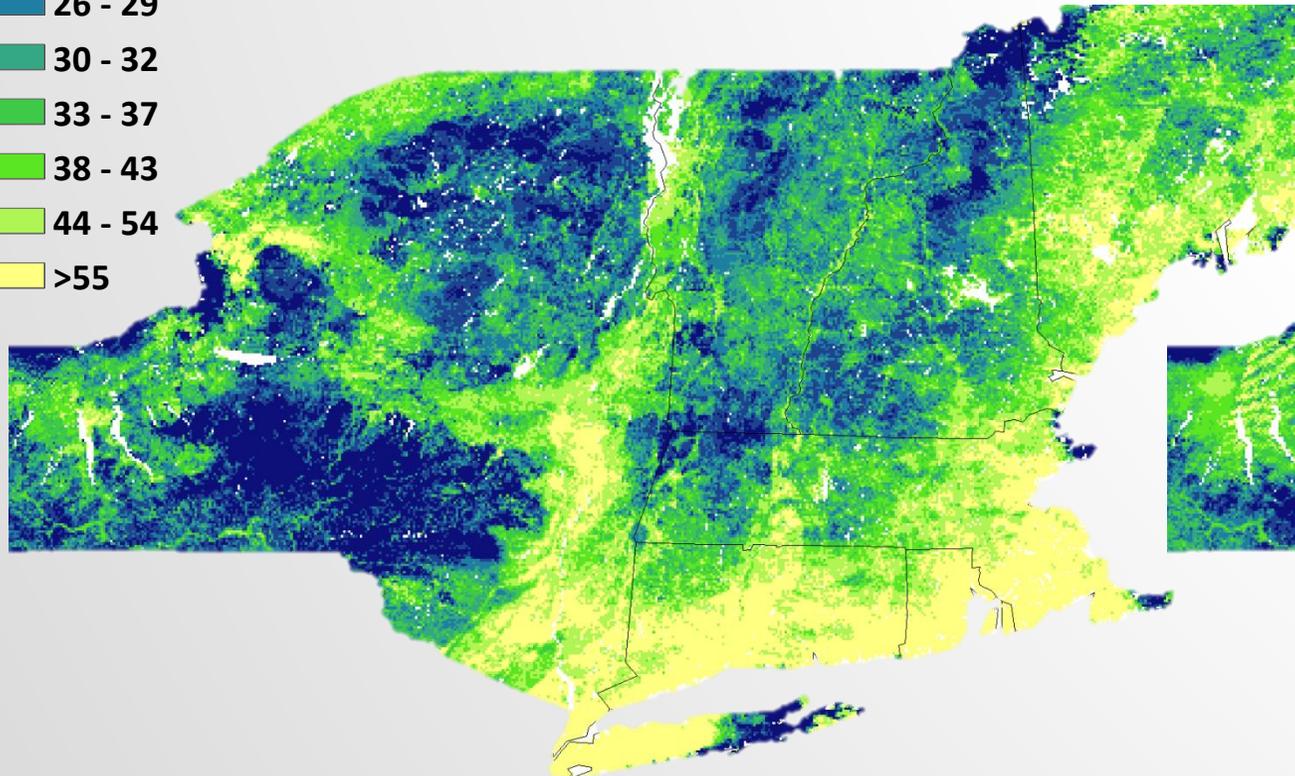


SPATIAL TRENDS COLD SEASON

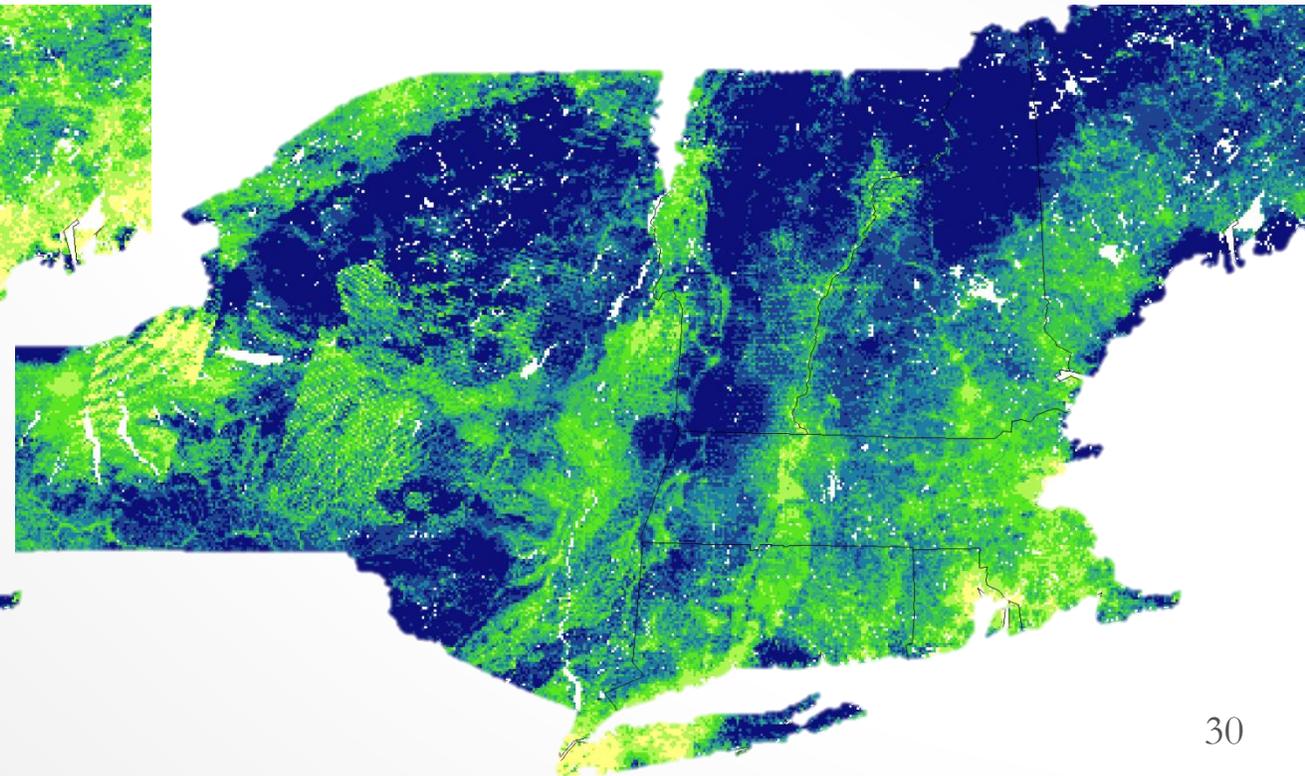
Emissions
(Tons/yr/km²)



Period 1
2002-2004



Period 4
2011-2013



LAND USE REGRESSION

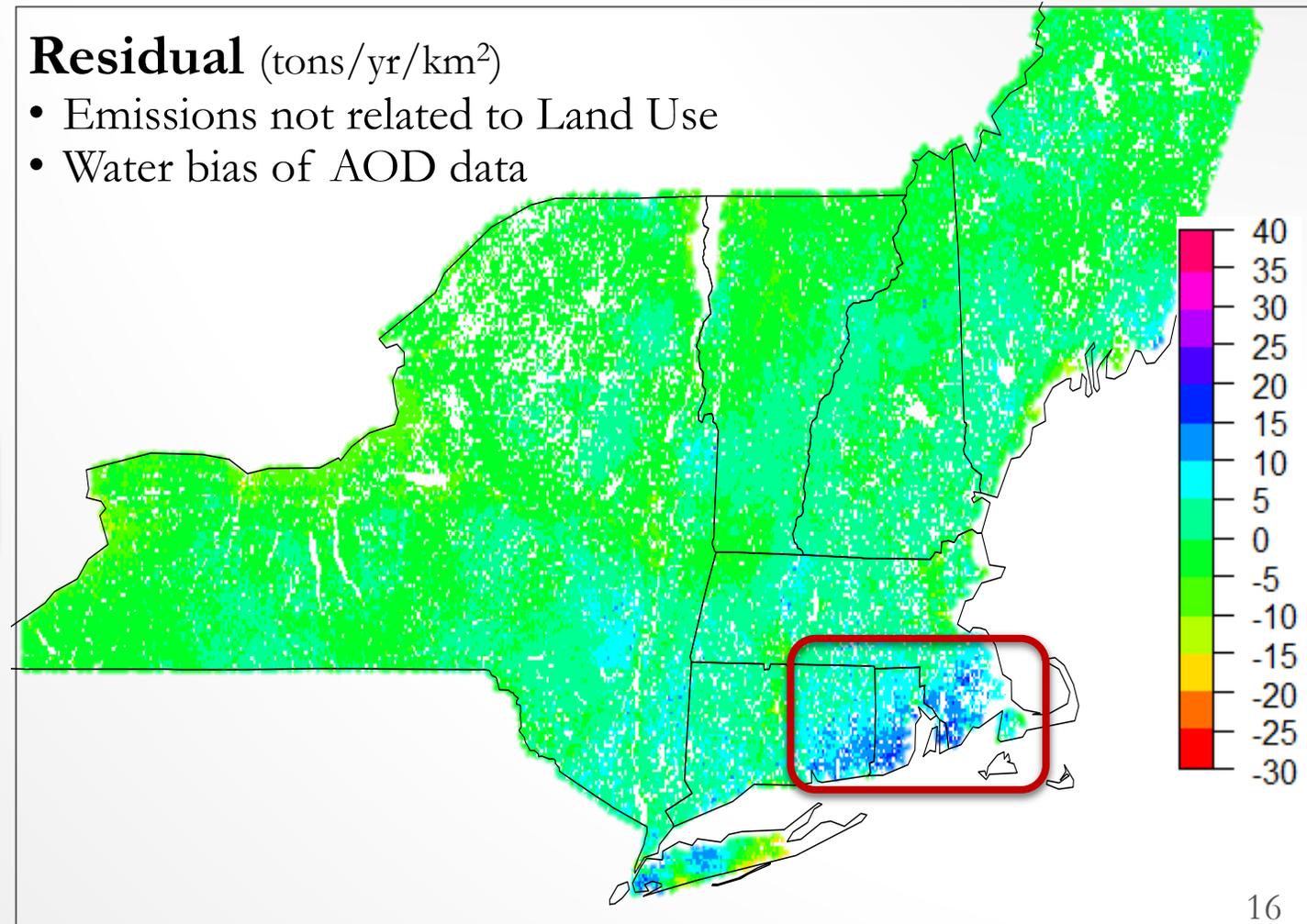
$$\text{PEIRS} = \sum \beta_i \text{LU}_i + \varepsilon$$

$$R^2 = 65\%$$

Land Use	Emission (Tons/yr/km ²)
Traffic	0.4 ~ 28
Developed area	5.6 ~ 13
Population	0.1 ~ 2.7
Pasture	0.34
Industrial Points	0.58

Residual (tons/yr/km²)

- Emissions not related to Land Use
- Water bias of AOD data



Thank you!

Policy Implications

[REMOTE SENSING vs SENSORS]

- ▶ Better exposure assessment => higher effect estimates
- ▶ Study in places with no monitoring (not possible before)
- ▶ Rural populations are at higher risk
- ▶ Dissect acute and chronic effects
- ▶ Study simultaneously climate and air pollution effects

But all these effects are based on exposure predictions,
which are not necessarily equivalent with the FRM or
equivalent methods

Inter-Site

Means by site

- 22.4 - 79.7 $\mu\text{g}/\text{m}^3$

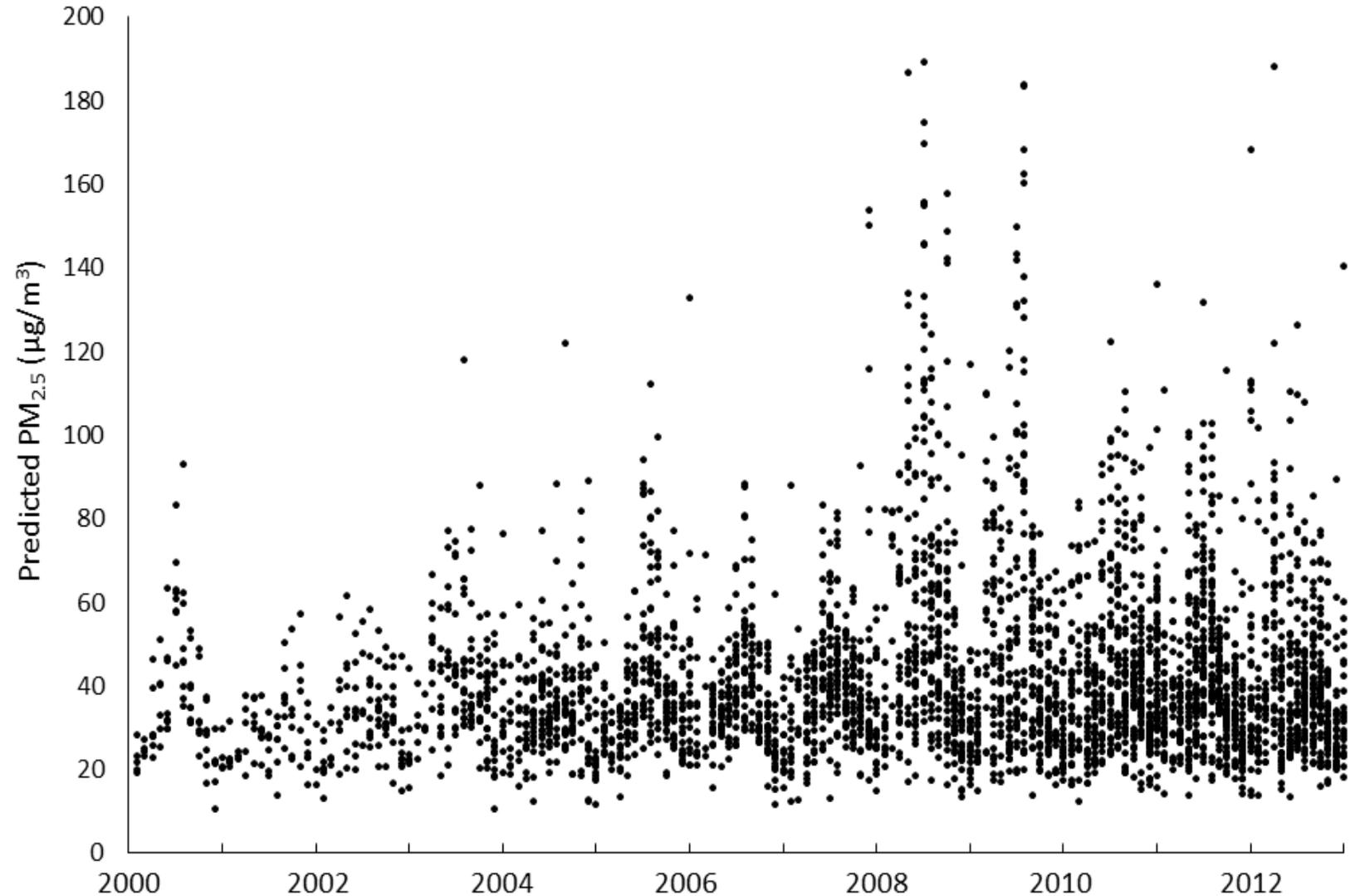
Intra-Site

50% sites

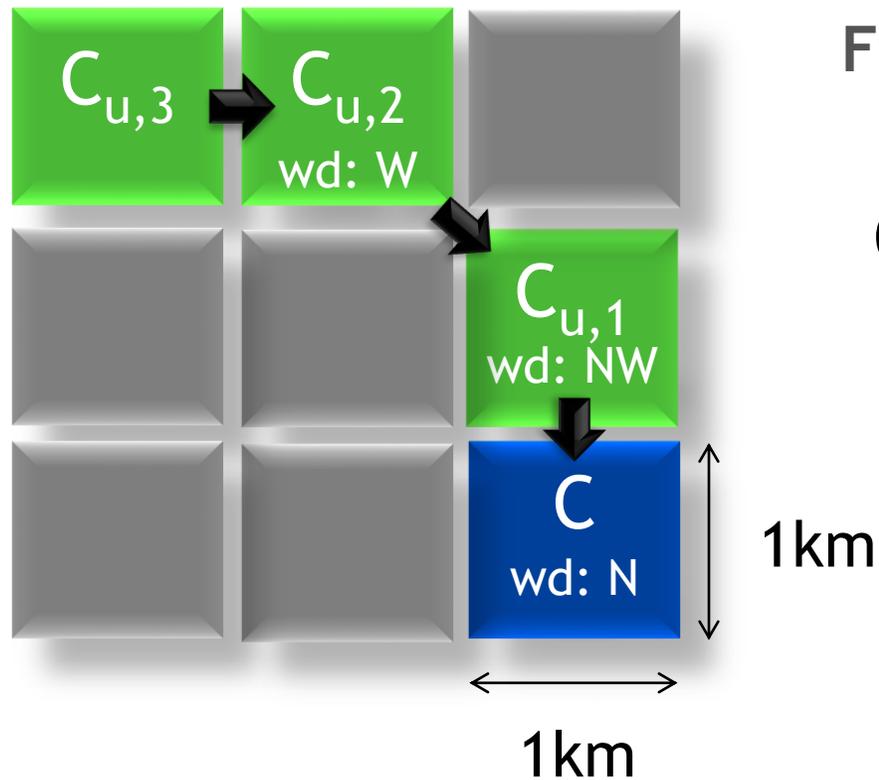
- $\Delta > 73 \mu\text{g}/\text{m}^3$

20% sites

- $\Delta > 120 \mu\text{g}/\text{m}^3$



**Monthly PM_{2.5} predictions for 104 military sites
In Southwest Asia and Afghanistan from 2000-2012**



Final model

$$C = \sum_{i=1}^3 C_{u,i} \times \text{Temperature} + \frac{Q}{\alpha \times \text{PBL}}$$

C : PM_{2.5} Concentration inside box
 C_u : PM_{2.5} Concentration upwind
 α : Air exchange rate
 Q : Emission inside box
 PBL : Planetary Boundary Layer height
 wd : Wind direction

Study Area: North East US

Study Period: 2002 - 2013



Data	Source	Temporal scale	Spatial scale
Aerosol Optical Depth	MODIS Aqua	Daily	1km x 1km
Ground level PM_{2.5}	EPA monitors	Daily	Point
Weather	NOAA NARR	Daily	1km x 1km
Land use vars.	USGS/ESRI®	Cross-sectional	1km x 1km
NEI Primary PM _{2.5}	EPA	Annual (2008,2011)	County